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Attorney Docket No.: 21882-517 UTIL

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Bertilsson et al.

SERIAL NUMBER: 10/718,071

EXAMINER: Not Yet Assigned

FILING DATE: November 20, 2003

ART UNIT: 1617

FOR: COMPOUNDS AND METHODS FOR INCREASING NEUROGENESIS

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the present application are the following documents:

1. Supplemental Information Disclosure Statement (2 pages), in duplicate;
2. Modified Form 1449/PTO (1 page), in duplicate;
3. Cited References A1, B1-B2, and C27-C28; and
4. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (212) 935-3000.

The Commissioner is authorized to charge any fees that may be due to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 21882-517 UTIL. Please address all correspondence to customer number 35437. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

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Dated: April 18, 2005



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Bertilsson et al.

SERIAL NUMBER: 10/718,071

EXAMINER: Agnes B. Rooke

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FOR: COMPOUNDS AND METHODS FOR INCREASING NEUROGENESIS

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, for the above-identified application. Accordingly, no fee or certification is believed required

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims. It is also respectfully requested that the Examiner initial, sign and date, and return a copy of the signed modified Form PTO-1449 with the next U.S. PTO communication, to evidence that the cited information has been fully considered by the U.S. Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the

information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the importance of the references. The Examiner is urged to form his/her own conclusion regarding the relevance of the cited information.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21882-517 UTIL.

Respectfully submitted,

A handwritten signature in black ink, reading "Eric Sinn", with a horizontal line underneath.

Eric Sinn, Reg. No. 40,177  
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Modified Form 1449/PTO

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/718,071
Filing Date	11/20/03
First Named Inventor	Bertilsson
Group Art Unit	1617
Examiner Name	Not Yet Assigned
Attorney Docket Number	21882-517 UTIL

**U.S. PATENT DOCUMENTS**

Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date
	A1	5,665,380	09/09/97	Wallach et al.			04/11/95

**FOREIGN PATENT DOCUMENTS**

Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No
	B1	WO 00/12099	The Regents of the University of California	March 9, 2000	X
	B2	WO 01/85981	Research Foundation of City University of New York; Beth Israel Deaconess Medical Center	November 15, 2001	X

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C27	Jackowski (1995). <i>British J. Neurosurgery</i> 9: 303-317.
	C28	International Search Report corresponding to PCT/IB03/05311 mailed August 27, 2004.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NYC 320614v1